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**PATENT****UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Joseph D. Lichtenhan, et al.

Docket No: 38559-0257952

Serial No: 09/747,762

Group Art Unit:

Filed: December 21, 2000

Examiner: Not yet assigned

For: **POLYHEDRAL OLIGOMERIC -SILSESQUIOXANES, -SILICATES,  
AND -SILOXANES BEARING RING-STRAINED OLEFINIC  
FUNCTIONALITIES**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

The following sections are being submitted for this Information Disclosure Statement:

1. ☒ Preliminary Statements
2. ☒ Form PTO-1449 (modified)
3. ☐ Statement as to Information Material to Examination Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☐ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
8. ☐ Translation(s) of Non-English Language Documents
9. ☒ Identification of Person(s) Making This Information Disclosure Statement

Sections , respectively, have been continued on ADDED PAGE(S).

**Section 1. Preliminary Statements**

Applicant submits herewith patents, publications or other information of which Applicant is aware which are believed to be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**Section 9. Identification of Person(s) Making This Information Disclosure Statement**

The person making this statement is

- (a) ☐ the inventor(s) who signs below

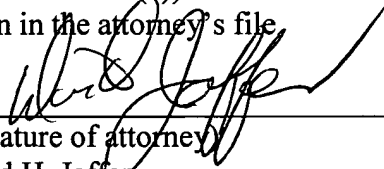
\_\_\_\_\_  
(signature of inventor)

\_\_\_\_\_  
(typed name)

- (b) ☒ the attorney who signs below on the basis of:

- ☐ the information supplied by the inventor(s)  
☐ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))  
☒ the information in the attorney's file

Date 5-4-01

  
\_\_\_\_\_  
(signature of attorney)

David H. Jaffer  
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UNITED STATES PATENT AND TRADEMARK OFFICE

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SILOXANES BEARING RING-STRAINED OLEFINIC FUNCTIONALITIES**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

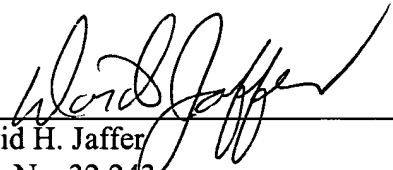
Dear Sir:

The enclosed Information Disclosure Statement (with attached Form PTO-1449) is submitted for filing in the above-identified application within three months of filing or before the mailing date of a first Office Action on the merits.

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

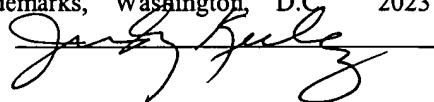
Please date-stamp and return the enclosed postcard as confirmation of receipt of the above materials.

Date: 5-4-01

  
\_\_\_\_\_  
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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231 on May 5, 2001 by JUDY KEELEY.

  
\_\_\_\_\_  
Judy Keeley



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO.  38559-0257952	SERIAL NO.  09/747,762
	APPLICANT  Joseph D. Lichtenhan, et al.	
	FILING DATE  December 21, 2000	GROUP  1712

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
	AH	6,075,068	6-13-00	BIGSINGER	528	110	
	AG	5,942,638	8-24-99	LICHTENHAN ET AL.	556	480	
	AF	5,939,576	8-17-99	LICHTENHAN ET AL.	556	460	
	AE	5,589,562	12-31-96	LICHTENHAN ET AL.	528	9	
	AD	5,484,867	1-16-96	LICHTENHAN ET AL.	528	9	
	AC	5,412,053	5-2-95	LICHTENHAN ET AL.	528	9	
	AA	5,190,808	5-2-93	TENNEY ET AL.	428	224	
	AB	4,900,779	2-13-90	LIEBFRIED	524	862	
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUBCLASS	TRANSLATION YES NO
	AL							
	AM							
	AN							
	AO							
	AP							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

gm	AR	CHEVALIER, ET AL., JOURNAL OF INORGANIC AND ORGANOMETALLIC POLYMERS, "RING-OPENING OLEFIN METATHESIS POLYMERISATION (ROMP) AS A POTENTIAL CROSS-LINKING MECHANISM FOR SILOXANE POLYMERS," VOL 9 NO 3, 1999, pp 151-164
	AS	
	AT	

EXAMINER  Jeffrey B. Norman	DATE CONSIDERED  2/25/12
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

